APPLICATION FOR OFF-LICENCE OR RENEWAL OF OFF-LICENCE



use

Form 4, Sections 100 and 127(2), Sale and Supply of Alcohol Act 2012

| Send or deliver your application to: | For Council |
|------------------------------------------------------------------------------------|-------------|
| The Secretary District Licensing Committee | File # |
| Kāpiti Coast District Council | |
| Private Bag 60601, Paraparaumu 5254 | |
| 175 Rimu Road, Paraparaumu 5032 Telephone (04) 296 4700 Toll Free: 0800 486 486 | |
| | |

Once this application is complete you may make an appointment for a pre-lodgement meeting with a Licensing Inspector at the numbers given above.

Application forms cannot be accepted by the District Licensing Committee (DLC) over the counter until they have been signed off as complete by the Inspector and a fee category has been calculated. Instructions on how to complete this application are attached at the back of the form.

| This application is made in accordance with the particulars set out below: | | | |
|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------|--|
| 1. Application Type | | | |
| □ New Off-Licence | ☐ Renewal of Off-Licence Licence number: | ☐ Renewal of Off-Licence with variation of conditions Licence number: | |
| 2. Endorsements | | | |
| Tick the appropriate box | if you want an endorsed licence only | | |
| ☐ Auctioneer | ☐ Remote Sales | | |
| 3. Details of Applicant | | | |
| Full legal name or names to | Full legal name or names to be on licence (if a company, must be a company name): | | |
| Whether licence already held for premises concerned: Yes No, and if 'Yes', state kind of licence | | | |
| 4. Applicant Status: by reference to section 28 of Sale and Supply of Alcohol Act 2012 | | | |
| ☐ Natural person(s) | | Private Company | |
| ☐ Body Corporate | | Public Company | |
| ☐ Partnership | | Other (please specify) | |

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| 5. For Applicant that is a Natural Person(s) | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------|------------------------|
| Full legal name: | | | |
| Any aliases (and/or maiden name): | | | |
| Usual residential address: Number | Street: | | |
| Suburb: | City: | | Postcode: |
| Sex: | Occupation: | | |
| Date of birth: | Place of birth: | | |
| Telephone: | Mobile: | | |
| Email: | | Preferred mo | ode of contact: |
| 6. For Applicant that is a Body Corporate, Authority | under which Incorporated | | |
| | | | |
| 7. For Applicant that is <u>Not</u> a Natural Person(s), Det | ails of Contact Person | | |
| Name: | Designation/Position: | | |
| Telephone: | Email: | | |
| Mobile: | Preferred mode of contact: | | |
| 8. Postal Address for Service | | | |
| Number/Street/PO Box: | Suburb: | | |
| City: | Postcode: | | |
| 9. Business Details | | | |
| Describe principal business, any other businesses | | | |
| | | | |
| 10. Criminal Convictions | | | |
| | or then convictions for effences against pro | ovisions of the l | and Transport Act 1000 |
| Does the applicant(s) have any criminal convictions (other not contained in Part 6, and offences to which the Crimin | nal Records (Clean Slate) Act 2004 applies | | |
| please provide nature of the offence, details of conviction, and penalty imposed. | | | |
| | | | |
| 11. For a Company whether Incorporated under the Company whether | ompanies Act 1993 or Equivalent Foreign I | Legislation | |
| Full Legal Names of Directors: | | | |
| | | | |
| | | | |
| | | | |

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| 12. For a Private Company Incorporated under the Companies Act 1993 | | | | |
|-----------------------------------------------------------------------------------------------------|--------------|----------------------------|----------------------------|--|
| Authorised capital: | | Paid up capital: | | |
| Name: | | Address: Street number | | |
| Street: | | Suburb: | | |
| City: | | Postcode: | | |
| Date of birth: | | Place of birth: | | |
| Designation: | | Face value of shares held: | Face value of shares held: | |
| 13. For a Partnership | | | | |
| Full legal name of partner: | | | | |
| Usual residential address: Number | Str | eet: | | |
| Suburb: | City | y: | Postcode: | |
| Full legal name of partner: | | | | |
| Usual residential address: Number | Str | eet: | | |
| Suburb: | Suburb: City | | Postcode: | |
| 14. Details of Premises | | | | |
| Address: Number Street: | | | | |
| Suburb: City | | y: | Postcode: | |
| Trading Name: | | | | |
| If not Owned by Applicant: | | | | |
| Tenure: (state whether to be held as leasehold, or under tenancy agreement or licence) | | | | |
| Full legal name of owner: | | | | |
| Address: Number Street: | | eet: | | |
| Suburb: City: | | y: | Postcode: | |
| Type: state whether grocery, hotel, retail shop (other than grocery), or tavern | | | | |
| Is the licence conditional on completion of building work: □ Yes □ No, and if "Yes", state details: | | | | |

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| 15. Details of Duty Manager(s)/Proposed Manager(s) If more than two certified managers please attach de | etails separately |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|
| Full legal name: | |
| Number of manager's certificate: Expiry Date: | |
| Full legal name: | |
| Number of manager's certificate: Expiry Date: | |
| 16. Business Details | |
| Is the sale of alcohol intended to be the principal purpose of business: No, and advise the intended business (for example: sale of alcohol, sale of food; entertainment; accommodation). | d principal purpose of |
| Is the applicant engaged, or intending to be engaged, in the sale or supply of any goods other than alcohol, nor food, or in the provision of any services other than those directly related to the sale or supply of alcohol and nor food: Yes No - and if "Yes", advise the nature of other goods or services. This is to assess whether other provided are compatible with the sale of alcohol. | n-alcoholic refreshments, and |
| State the days and hours proposed for sale of alcohol (this is your current licensed hours not trading hours): | |
| 17. Conditions Write answer below or attach relevant documents that demonstrate compliance. When including attachments please number the hard copies, and in the first column circle 'Yes box as write the document number on '#' | Doc attached? Number. |
| Describe experience and training of applicant: | Yes / No # |

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| Describe the steps proposed to be taken to prevent the sale and supply of alcohol to prohibited people: | Yes / No # |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| Describe any other steps the applicant proposes to promote the responsible consumption of alcohol (for instance host responsibility practices): | Yes / No # |
| Describe any other systems (including training systems), and staff in place (or to be in place) for compliance with the Act: | Yes / No # |
| Describe any actions that have been taken to ensure the good order and amenity of the locality would not be likely to be: reduced, by more than a minimal extent, by granting the licence; or increased, by more than a minimal extent, by the refusal to renew the licence. | Yes / No # |
| For Licence Renewal Only: Describe any conditions of the licence the applicant seeks to vary or cancel: To be filled in for each condition the applicant seeks to vary or cancel – attach additional pages as necessary Terms of condition at present: | Yes / No # # # |

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| Action sought: Variation Cancellation. If Variation, in what respect does the applicant seek to vary the condition? | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| | |
| Full reasons for variation or cancellation: | |
| | |
| 18. Attachments | Doc attached? |
| When including attachments please number the hard copies, and in the first column circle 'Yes box and write the document number on '#') | Number. |
| A statement, or signed declaration, regarding the premises need for an evacuation scheme, as set out in section 100(d) of the Act for new applications, or section 127(e) of the Act for renewals. A copy of the 'Evacuation of Declaration Scheme' is available on the website. | Yes / No # |
| Please attach certificate to show that proposed use meets the requirements of the Resource Management Act 1991. Not required for renewal unless the business activity or type has changed since the last version. | Yes / No # |
| Copy of Building Compliance Certificate. Please attach certificate to show that the premises meet the requirements of Building Code 2004. Not required for renewal unless structural changes have been undertaken since the last issue or renewal. | Yes / No # |
| Where the premises are a grocery store, the statement of annual sales revenue required by regulation 12 or 13 (as the case requires) of the Sale and Supply of Alcohol Regulations 2013. | Yes / No # |
| Where the premises are a grocery store or supermarket, a scale floor plan must be provided clearly defining the single alcohol area, or sub-area, and layout of the premises including entry/exit and checkouts. | Yes / No # |
| Where the premises are a bottle store or tavern off licence, a plan must be provided showing designations and the principal entrance. | Yes / No # |
| For body corporate applicant, please attach a copy of certificate of incorporation (or equivalent document). Not required for renewal unless there have been changes since the last issue or renewal. | Yes / No # |
| Advise if a Crime Prevention Through Environmental Design (CPTED) assessment has been undertaken or any improvements to the design and layout in accordance with CPTED. Yes No, and if 'Yes' attach a copy. | Yes / No # |
| If 'No', discuss with the Licensing Inspector if you need to complete a CPTED checklist for this application (see HPA and the Ministry of Justice websites for more information). | |
| If premises owned by another party, please attach an owner's statement or copy of lease to show there is no objection from the owner to the issue of licence to this premise. <i>Not required for a renewal unless the lease or</i> | Yes / No |

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| ownership arrangements have changed. | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|------------|--|
| 19. Further Details where Applicant is a Company Include full details of each person who holds 20% or more of the shares, or of any particular class of shares, issued by the company. | | | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Designation: | | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Designation: | | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Designation: | | |
| Are additional sheets attached? Yes / No - Doc number # | | | |
| 20. Further Details where Applicant is a Partnership | | | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Date: | Signature: | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Date: | Signature: | |
| Name: | Address: | | |
| Suburb: | City: | | |
| Postcode: | Date of birth: | | |
| Place of birth: | Date: | Signature: | |
| Are additional sheets attached? Yes / No - Doc number # | | | |

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| 21. Signature of Applicant (this must be signed by applicant not their agent) | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|--|
| I authorise New Zealand Police to disclose any personal information it considers relevant to my application to the Medical Officer of Health and/or the Licensing Inspector for the purpose of assessing my suitability. | | |
| Name: | | |
| Date: | Signature: | |
| Dated at location: | | |
| Privacy Statement | | |
| Information contained in your application and any supporting information will be held by Kapiti Coast District Council to enable your application to be processed under the Sale and Supply of Alcohol Act 2012. This information will be made available to the public on request. The information will be provided to the Kapiti Coast District Licensing Committee, the NZ Police, the Medical Officer of Health and Council's Licensing Inspectors. This information may form part of a public hearing of your application before the Kapiti Coast District Licensing Committee and may be used in the Committee's decision for your application. Decisions will be made publically available. Council is required to keep a statutory register of all applications and the District Licensing Committee's decisions on them. Council is required to report statistics about applications to the Alcohol Regulatory and Licensing Authority. Any member of the public may request access to this information under the Local Government Official information and Meetings Act 1987. This information may also be used under the Privacy Act 1993. You have the right to see and correct personal information that Council holds about you. | | |
| Method of payment (must be made at time of applica | tion) | |
| ☐ I have paid at a Kāpiti Coast District Council Service | e Centre when I delivered this application. | |
| ☐ I have paid by electronic transfer (Council Bank Acc "alcohol" in the reference fields; and | count Number: 03-0732-0306101-00) and quoted my name and | |
| ☐ I have included proof of electronic payment wit | h this application. | |
| ☐ I have enclosed a cheque with this form. | | |
| How I would like to receive my alcohol licence (pleas | e select <u>one</u> only) | |
| ☐ I will collect my alcohol licence – please contact me | when it is ready by $\ \square$ Phone or $\ \square$ Email | |
| OR | | |
| ☐ Please post my alcohol licence to me. | | |

Next Step: Once your application is complete, if you would like to make an appointment for an optional pre-lodgement meeting with the Licensing Inspector then please Telephone (04) 296 4700 or Toll Free: 0800 486 486.

- 1 This form must be accompanied by the prescribed fee.
- 2 This form must be accompanied by the required attachments (refer Points 19 or 20).
- Within 20 working days after filing your application with the District Licensing Committee (or 10 working days if it is an application for renewal), the application must be publically notified. The public notice template will be provided on receipt of your application by the Alcohol Licensing Team.

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| For Office Use: Application Fee Risk Categories | |
|-------------------------------------------------|----------------------------------|
| □ Very Low | ☐ High |
| □ Low | ☐ Very High |
| ☐ Medium | |
| Application Fee Payable: \$ | Signature of Licensing Inspector |
| | |
| Name of Licensing Inspector | Date: |

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Guidance for Completing Off-Licence Application/Renewal Form

Background

The object of the Sale and Supply of Alcohol Act 2012 is that the sale, supply, and consumption of alcohol should be undertaken safely and responsibly; and the harm caused by the excessive or inappropriate consumption of alcohol should be minimised.

It is a legal requirement of the Sale and Supply of Alcohol Act 2012 that you must have a licence before you can sell or supply alcohol.

Before lodging application

Once this application is complete then you must ring and make an appointment for a pre-lodgement meeting with the Licensing Inspector. Please Telephone (04) 296 4700 or Toll Free: 0800 486 486. The application forms cannot be accepted by the DLC over the counter until they have been signed off as complete by the Inspector and a fee category has been calculated.

You should also apply for certificate of compliance with the Resource Management Act and the Building Act from the Kapiti Coast District Council.

| Cor | npleting your application | Who should complete which fields |
|-----|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | Type of Application | All applicants to complete. |
| 2 | Endorsements | Only complete if you are <u>only</u> seeking a licence for use as an Auctioneer, or for remote sales (the 'sale for delivery', or 'sales from a distance'). |
| 3 | Details of Applicant | All applicants to complete. If a company takes profits must apply in company name. |
| 4 | Applicant Status | All applicants to complete. |
| 5 | For Applicant that is Natural Person(s) | Only complete if applicant is a natural person. A natural person is an individual. |
| 6 | For Applicant that is Body Corporate | Only complete if applicant is a body corporate. |
| 7 | For Applicant that is <u>not</u> a Natural Person(s) | Only complete if applicant is a body corporate, partnership, private company or public company. |
| 8 | Postal Address for Service | All applicants to complete. |
| 9 | Business Details | What is your principal business? For example supermarket/bottlestore/grocery store. |
| 10 | Criminal Convictions | All applicants to complete. |
| 11 | For a Company full legal names of directors | Only complete if applicant is a public or private company. |
| 12 | For a Private Company | Only complete if applicant is a private company incorporated under the Companies Act 1983. |
| 13 | For a Partnership | Only complete if applicant is a partnership. |
| 14 | Details of Premises | All applicants to complete. |
| 15 | Details of Duty Manager(s)/Proposed Managers | All applicants to complete. If more than two, please attach separately. |
| 16 | Business Details | All applicants to complete. |

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| 17 | Conditions | All applicants to complete. |
|----|--------------------------------------------------|---------------------------------------------|
| 18 | Attachments | All applicants to complete. |
| 19 | Further Details where Applicant is a Company | Only complete if private or public company. |
| 20 | Further Details where Applicant is a Partnership | Only complete if a partnership. |
| 21 | Signature of Applicant | All applicants to complete. |

After your Application is Lodged

Public Notices

You are responsible for giving notice in the Kapiti Observer or Kapiti News within 20 working days of the Council formally accepting your application (or 10 working days if it is an application for renewal) and the Council will sent you a template to complete this, along with further information. Unless notified otherwise by a Licensing Inspector, the notice must be published twice and there must not be less than five days and not more than 10 days between the two dates of publication. The notices must be worded according to Form 7 (and in compliance with regulations 36, 37 and 38 of the Sale and Supply of Alcohol Regulations 2013). A Form 7 notice must also be displayed in a conspicuous place on the premises or conveyance to which this application relates for 10 days from the first newspaper notification.

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