

MINUTES	MEETING HELD ON	TIME
AUDIT AND RISK SUBCOMMITTEE	THURSDAY, 15 SEPTEMBER 2016	10AM

MINUTES of a meeting of the **Audit and Risk Subcommittee**, held in the Council Chambers, Ground Floor, 175 Rimu Road, Paraparaumu, on **Tuesday, 15 September 2016**, commencing at 10am.

PRESENT:

Cr	G	Welsh	Chairperson
Cr	M	Bell	Deputy Chairperson
Cr	M	Cardiff	
Mayor	R	Church	
Mr	W	Tuck	Appointed Member

IN ATTENDANCE:

Mr	P	Dougherty	Chief Executive
Mr	W	Maxwell	Group Manager Corporate Services
Mr	M	de Haast	Financial Controller
Mr	K	Currie	Group Manager Regulatory Services
Mr	S	Mallon	Group Manager Infrastructure Services
Mr	S	McArthur	Group Manager Strategy & Planning
Ms	D	Andrew	Organisational Development Manager
Mr	T	Power	Senior Legal Counsel
Mr	K	Black	Manager, Corporate Planning & Reporting
Ms	A	Horn	Manager, Financial Accounting
Mrs	J	Nock	Executive Secretary, Corporate Services
Mr	A	Sofe	Ernst & Young
Mr	M	Henry	Ernst & Young
Cr	D	Scott	<i>(arrived at 10.15am)</i>
Cr	M	Scott	

The Chair welcomed everyone to the meeting.

ARS 16/09/118

(a) APOLOGIES

There were no apologies.

(b) DECLARATIONS OF INTEREST RELATING TO ITEMS ON THE AGENDA

There were no declarations of interest.

ARS 16/09/119

PUBLIC SPEAKING TIME

There were no public speakers.

ARS 16/09/120

MEMBERS' BUSINESS

(a) Public Speaking Time Responses

None required.

(b) Leave of Absence

There were no leave of absence requests.

(c) Matters of an Urgent Nature

There were no matters of an urgent nature.

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ARS 16/09/121

HEALTH AND SAFETY REPORT (CE-16-2002)

Dianne Andrew, Organisational Development Manager spoke to the report, clarified the matters under action and responded to Members' questions.

MOVED (Welsh/Mayor)

That the Audit and Risk Subcommittee notes the Health and Safety Annual Report, included as Appendix 1 to Report CE-16-2002.

CARRIED

ARS 16/09/122

CLOSING REPORT FROM AUDIT FOR THE YEAR ENDED 30 JUNE 2016 (Corp-16-1999)

The report was taken as read.

Marcus Henry, Ernst & Young gave a brief summary of their Closing Report and responded to Members' questions.

MOVED (Bell/Mayor)

That the Audit and Risk Subcommittee receives the Closing Audit Report from Ernst & Young and notes that there are no audit differences that have not been agreed and adjusted in the draft financial statements and the draft 2015/16 Annual Report.

That the Audit and Risk Subcommittee receives the draft financial overview, the draft financial statements and the draft annual report disclosure statement for the year ended 30 June 2016 for consideration.

That the Audit and Risk Subcommittee notes that subject to any change requests, the draft financial overview, the draft financial statements and the draft annual report disclosure statement will be included in the draft 2015/16 Annual Report for consideration by the Corporate Business Committee at its next meeting on 22 September 2016.

CARRIED

Cr Bell left the meeting at 10.39am and returned in the public excluded session at 10.47am.

ARS 16/09/123

AUDIT REPORT TO MANAGEMENT FOR THE YEAR ENDED 30 JUNE 2016 (Corp-16-1998)

The report was taken as read.

MOVED (Welsh/Tuck)

That the Audit & Risk Subcommittee receives Ernst & Young's Report on Control Findings for the year ended 30 June 2016 and notes that Council agrees with the two internal control recommendations.

That the Audit and Risk Subcommittee notes that action plans are being implemented to remedy these control findings and progress updates will be provided at each Audit and Risk Subcommittee meeting.

CARRIED

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ARS 16/09/124

CONFIRMATION OF MINUTES: 18 August 2016

MOVED (Cardiff/Mayor)

That the Audit and Risk Subcommittee minutes of 18 August 2016 are accepted as a true and correct record.

CARRIED

Matters Under Action

Discussed during Report CE-16-2002.

MOVED (Welsh/Mayor)

That the Audit and Risk Subcommittee move into a Public Excluded meeting:

<p>PUBLIC EXCLUDED RESOLUTION</p> <p>That, pursuant to Section 48 of the Local Government Official Information and Meetings Act 1987, the public now be excluded from the meeting for the reasons given below, while the following matters are considered:</p> <ul style="list-style-type: none"> • Update on Statutory Compliance Issues, Investigations and the Status of Council Bylaws for 1 April to 30 June 2016 (SP-16-1994) <p>The general subject of each matter to be considered, while the public are excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under Section 48(1) of the Local Government Official Information and Meetings Act 1987, for the passing of this resolution are as follows:</p>		
General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Grounds under Section 48(1) for the passing of this resolution
<p>Update on Statutory Compliance Issues, Investigations and the Status of Council Bylaws for 1 April to 30 June 2016 (SP-16-1994)</p>	<p>Section 7(2)(f)(i) – to maintain the effective conduct of public affairs through the free and frank expression of opinions by or between or to members or officers or employees of any local authority, or any persons to whom section 2(5) of this Act applies, in the course of their duty.</p>	<p>48(1)(a): That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist.</p>

The Audit and Risk Subcommittee moved into a public excluded session at 10.40am.

The Corporate Business committee came out of public excluded session at 11.09am

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The following report (including appendices) and resolutions were released from the public excluded part of the meeting:

UPDATE ON STATUTORY COMPLIANCE ISSUES, INVESTIGATIONS AND THE STATUS OF COUNCIL BYLAWS FOR 1 APRIL TO 30 JUNE 2016 (SP-16-1994)

MOVED (Mayor/Cardiff)

That the Audit and Risk Subcommittee:

- i. note that there was one statutory compliance issue associated with the 'key' acts identified in the fourth quarter of the 2015/16 year;**
- ii. note the current status of Ombudsman and Privacy Commissioner investigations, official information requests, bylaws, litigation and weathertight claims;**
- iii. agree that this report, appendix (B) and resolutions be released from public excluded business; and**
- iv. agree that appendices (A), (C) and (D) be excluded from public release.**

CARRIED

The Audit and Risk Subcommittee meeting closed at 11.09.am.

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Chairperson

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Date

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Chief Executive

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Date